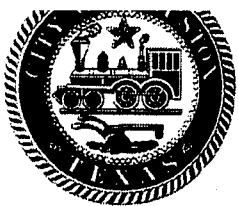


Franchise Application



APPLICATION FOR FRANCHISE RELATED TO COMMERCIAL SOLID WASTE OPERATORS

(Pursuant to Chapter 39 of Code of Ordinances)

INSTRUCTIONS:

Complete form and mail to: City of Houston, Finance & Administration Department, 611 Walker, 10th Floor, Houston, TX 77002, attn: Franchise Administration **OR** fax the completed form to (713) 837-0631.

Name of Company	Physical Address
Contact Name	Contact Title
Mailing Address	Telephone Number
Fax Number	E-mail Address (if available)

1. Do you collect, haul or transport solid waste or industrial waste from commercial or industrial properties located within the city limits of Houston, Texas for disposal at any permitted solid waste disposal facility (Note: this **EXCLUDES** apartment and condominium complexes and land used for single-family residential dwelling purposes, but **DOES NOT** exclude roll-off containers used during RENOVATION or CONSTRUCTION of residences)? (Circle One) YES NO

2. Form of business of the applicant, and, if the business is a corporation or association, a copy of the documents establishing the business. Please ensure documents show date of formation.

3. The estimated number of vehicles the applicant proposes to use in the operation of its collection, hauling or transporting service.	Number of Vehicles
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4. Applicant has attached Certificates of Liability Insurance as required in Section 5.02 of the Franchise Agreement (commercial auto liability coverage in no less than the minimum coverage amounts specified in the Texas Motor Vehicle Safety Responsibility Act, issued by an insurance company listed as an authorized auto liability lines carrier on the Texas Department of Insurance's List of Authorized Liability Companies). Proof of insurance shall be maintained at all times and shall be accepted only in the authorized form that has been promulgated by the City and adopted by the Texas Automobile Insurance Plan Association.	Circle One YES NO
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5. Signature of the person authorized by the Company to sign this Application

Signature _____

Title: _____

Date: _____

Form: Affidavit of Ownership or Control

STATE OF _____ §
 COUNTY OF _____ §

AFFIDAVIT OF OWNERSHIP OR CONTROL

BEFORE ME, the undersigned authority, on this day personally appeared _____ [FULL NAME] (hereafter "Affiant"),

_____ [STATE TITLE/CAPACITY WITH CONTRACTING ENTITY] of _____ [CONTRACTING ENTITY'S CORPORATE/LEGAL NAME] ("Contracting Entity"), who being by me duly sworn on oath stated as follows:

1. Affiant is authorized to give this affidavit and has personal knowledge of the facts and matters herein stated.
2. Contracting Entity seeks to do business with the City in connection with _____ [DESCRIBE PROJECT OR MATTER] which is expected to be in an amount that exceeds \$25,000.
3. The following information is submitted in connection with the proposal, submission or bid of Contracting Entity in connection with the above described project or matter.
4. Contracting Entity is organized as a business entity as noted below (check box as applicable)

FOR PROFIT ENTITY:

- ☐ SOLE PROPRIETORSHIP
☐ CORPORATION
☐ PARTNERSHIP
☐ LIMITED PARTNERSHIP
☐ JOINT VENTURE
☐ LIMITED LIABILITY COMPANY
☐ OTHER (Specify type in space below)

NON-PROFIT ENTITY

- ☐ NON-PROFIT CORPORATION
☐ UNINCORPORATED ASSOCIATION

5. The information shown below is true and correct for the Contracting Entity and all owners of 5% or more of the Contracting Entity and, where the Contracting Entity is a non-profit entity, the required information has been shown for each officer. **[NOTE: IN ALL CASES, USE FULL NAMES, LOCAL BUSINESS AND RESIDENCE ADDRESSES AND TELEPHONE NUMBERS. DO NOT USE POST OFFICE BOXES FOR ANY ADDRESS. INCLUSION OF E-MAIL ADDRESSES IS OPTIONAL, BUT RECOMMENDED. ATTACH ADDITIONAL SHEETS AS NEEDED.]**

Name: _____

Business Address [No./STREET] _____

[CITY/STATE/ZIP CODE] _____

Telephone Number () _____

Email Address [OPTIONAL] _____

Residence Address [No./STREET] _____

[CITY/STATE/ZIP CODE] _____

Telephone Number () _____

Email Address [OPTIONAL] _____

Name: _____

Business Address [No./STREET] _____

[CITY/STATE/ZIP CODE] _____

Telephone Number () _____

Email Address [OPTIONAL] _____

Residence Address [No./STREET] _____

[CITY/STATE/ZIP CODE] _____

Telephone Number () _____

Email Address [OPTIONAL] _____

6. Optional Information

Contracting Entity and/or _____ [NAME OF
OWNER OR NON-PROFIT OFFICER] is actively protesting, challenging or appealing the accuracy and/or
amount of taxes levied against _____ [CONTRACTING
ENTITY, OWNER OR NON-PROFIT OFFICER] as follows:

File/I.D. No.: _____

Name of Debtor: _____

Tax Account Nos. _____

Case or File Nos. _____

Attorney/Agent Name _____

Attorney/Agent Phone No. (____) _____

Tax Years _____

Status of Appeal [DESCRIBE] _____

Affiant certifies that he or she is duly authorized to submit the above information on behalf of the Contracting Entity, that Affiant is associated with the Contracting Entity in the capacity noted above and has personal knowledge of the accuracy of the information provided herein, and that the information provided herein is true and correct to the best of Affiant's knowledge and belief.

Affiant

SWORN TO AND SUBSCRIBED before me this _____ day of _____, 20____.

(Seal)

Notary Public

NOTE:

This affidavit constitutes a **government record** as defined by Section 37.01 of the Texas Penal Code. Submission of a false government record is punishable as provided in Section 37.10 of the Texas Penal Code. Attach additional pages if needed to supply the required names and addresses.

Form: Fair Campaign

**CONTRACTOR SUBMISSION LIST
CITY OF HOUSTON FAIR CAMPAIGN ORDINANCE**

The City of Houston Fair Campaign Ordinance makes it unlawful for a Contractor to offer any contribution to a candidate for City elective office (including elected officers-elect) during a certain period of time prior to and following the award of the Contract by the City Council. The term "Contractor" includes proprietors of proprietorships, partners or joint venturers having an equity interest of 10 percent or more for the partnership or joint venture, and officers, directors and holders of 10 percent or more of the outstanding shares of corporations. A statement disclosing the name and business address of each of those persons will be required to be submitted with each bid or proposal for a City Contract. See Chapter 18 of the Code of Ordinances, Houston, Texas, for further information.

This list is submitted under the provisions of Section 18-36(b) of the Code of Ordinances, Houston, Texas, in connection with the attached proposal, submission or bid of:

Firm or Company Name: _____

Firm or Company Address: _____

The firm/company is organized as a (Check one as applicable) and attach additional pages if needed to supply the required names and addresses:

☐ **SOLE PROPRIETORSHIP**

Name _____	_____
Proprietor	Address

☐ **A PARTNERSHIP**

List each partner having equity interest of 10% or more of partnership (if none state "none")

Name _____	_____
Partner	Address

Name _____	_____
Partner	Address

☐ **A CORPORATION**

LIST ALL DIRECTORS OF THE CORPORATION (IF NONE STATE "NONE")

Name _____	_____
Director	Address

Name _____	_____
Director	Address

Name _____	_____
Director	Address

[CORPORATION CONTINUED]

LIST ALL OFFICERS OF THE CORPORATION (IF NONE STATE NONE")

Name _____
Officer Address _____

Name _____
Officer Address _____

Name _____
Officer Address _____

LIST ALL INDIVIDUALS OWNING 10% OR MORE OF OUTSTANDING SHARES OF STOCK OF THE CORPORATION (IF NONE STATE "NONE")

Name _____
Address _____

Name _____
Address _____

Name _____
Address _____

I certify that I am duly authorized to submit this list on behalf of the firm, that I am associated with the firm in the capacity noted below and that I have personal knowledge of the accuracy of the information provided herein.

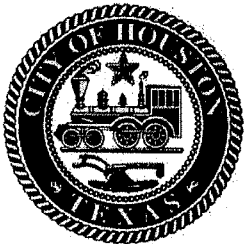
Preparer's Signature

Printed Name

Title

Note: This list constitutes a government record as defined by § 37.01 of the Texas Penal Code.

Form: SWO Quarterly Report



CITY OF HOUSTON

Finance and Administration
Department

Bill White

Mayor

Judy Gray Johnson
Director
Finance and Administration
Department
P.O. Box 1562
Houston, Texas 77251-1562

T. 713.837.9888
F. 713.837.9879
www.cityofhouston.gov

SOLID WASTE OPERATOR FRANCHISEE QUARTERLY REPORT

Account Number: _____

Invoice Number: _____

For Quarter Ending: _____

Due Date: _____

- | | |
|--|----------|
| 1. GROSS RECEIPTS DURING REPORTING PERIOD | \$ _____ |
| * 2. EXEMPT RECEIPTS | \$ _____ |
| 3. RECEIPTS SUBJECT TO FRANCHISE (LINE 1 -minus- LINE 2) | \$ _____ |
| 4. FEE DUE @ 4% (LINE 3 X .04) | \$ _____ |

COMPANY NAME: _____

ADDRESS: _____

I declare, under penalties prescribed, that the information contained in this document is true and correct to the best of my knowledge.

SIGNED: _____

DATE: _____

PRINT NAME: _____

RETURN QUARTERLY REPORT AND PAYMENT TO:

CITY OF HOUSTON
Franchise Administration
Attn: Ernest B. Davis
P.O. Box 200734
Houston, Texas 77216-0734

- * Solid Waste Operator Franchise Agreements are offered to companies that collect, haul or transport sold waste from commercial or industrial properties within the Houston city limits. The agreement excludes apartment/condominium complexes and land used for single family residential dwellings.

For purposes of this document, the receipts from collection, hauling and transporting from residential properties may be shown as an exemption. Roll-off containers used during renovation or construction of residences are NOT exempt.